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PATENT

0360

Case Docket No. GNE.2630P1C72

Date: March 20, 2002

Page 1

application of : Ashkenazi et al.  
App. No. : 10,002,967  
Filed : October 24, 2001  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Unknown  
Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 2327, Arlington VA 22202, on

March 20, 2002

(Date)

Ginger R. Dreger, Reg. No. 33,055

United States Patent and Trademark Office  
PO Box 2327  
Arlington VA 22202

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below:

CLAIMS AS FILED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims	20	—	20	= 0 ×	\$18	= \$0
Independent Claims	5	—	5	= 0 ×	\$84	= \$0
If application has been amended to contain multiple dependent claim(s), then add					\$280	= \$0
Time Extension Fee						\$0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$0

- (X) Amendment in 7 pages;
- (X) Sequence Submission Statement;
- (X) Substitute Sequence Listing in 429 pages;
- (X) Substitute Sequence Listing in computer readable form;

**PATENT**

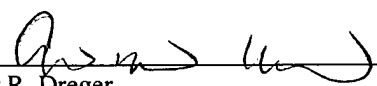
Case Docket No. GNE.2630P1C72

Date: March 20, 2002

Page 2

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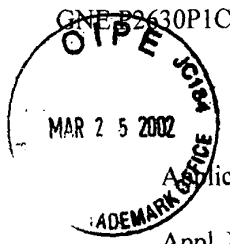
- (X ) Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
- (X) Information Disclosure Statement in 1 page;
- (X) PTO-1449 in 1 page w/ 2 references;
- (X) Return prepaid postcard;
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

  
\_\_\_\_\_  
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record

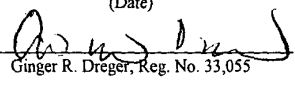
#3

PATENT

CNE P2630P1C72



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Ashkenazi et al.	)	Group Art Unit Unknown
Appl. No.	:	10,002,967	)	
Filed	:	October 24, 2001	)	I hereby certify that this correspondence and all
			)	marked attachments are being deposited with the
			)	United States Postal Service as first-class mail in
			)	an envelope addressed to: Commissioner for
			)	Patents, Washington, D.C. 20231, on
For	:	SECRETED AND	)	
		TRANSMEMBRANE	)	March 20, 2002
		POLYPEPTIDES AND NUCLEIC	)	(Date)
		ACIDS ENCODING THE SAME	)	
			)	Ginger R. Dreger, Reg. No. 33,055
Examiner	:	Unknown	)	

SEQUENCE SUBMISSION STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This is in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed January 24, 2002. I hereby state that the amendments, made in accordance with 37 C.F.R. § 1.825(a) and included in the Substitute Sequence Listing submitted herewith, are supported in the application, and that the Substitute Sequence Listing does not include new matter.

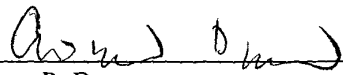
I further state that the information recorded in the currently submitted substitute copy of the computer-readable form of the Sequence Listing is identical to the paper form of the Sequence Listing submitted herewith as required in 37 C.F.R. § 1.825(b).

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 20, 2002

By:   
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record  
620 Newport Center Drive, 16<sup>th</sup> Floor  
Newport Beach, CA 92660  
(415) 954-4114



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/002,967	10/24/2001	Avi J. Ashkenazi	P2630P1C72

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201 California Street  
San Francisco, CA 94111



CONFIRMATION NO. 5881

## FORMALITIES LETTER



\*OC000000007355684\*

Date Mailed: 01/24/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY